

Compliance

The basis for a successful implementation of our compliance program is a corporate philosophy that builds on core values such as openness, transparency and credibility for both the internal and external relations of the company. Road and Sea Logistics GmbH commits to a strict compliance of laws and an internal policy that does not tolerate violations of any kind, especially corruption infringement.

At Road & Sea Logistics GmbH performance and surveillance tasks are achieved by company-wide measures of internal guidelines. Core areas of our compliance program are fight against corruption, data protection and prevention of money laundering.

The management of Road & Sea Logistics GmbH has unmistakably voiced its dismissive attitude toward corruption infringement in its Road & Sea Logistics Compliance Commitment. There is a clear attitude to the observance of law, internal directives as well as national and international standards:

Violations, in particular corruption infringements, are not tolerated.

Even initial suspicion of misconduct will be investigated. In order to implement our Compliance Commitment, our transparent and corporate philosophy - oriented management culture is constantly being further developed. This includes ensuring that Road & Sea Logistics GmbH employees are personally responsible for adherence to compliance requirements. Our executives also bear the corporate responsibility for the consistent implementation of our Compliance Program.

Compliance Program

Our Compliance Program is a binding part of risk management and closely linked to the functions of the Internal Control System. By combining our internal control system, risk management system and compliance management system, we ensure a high degree of efficiency in the avoidance of risks. Our anti-corruption, data protection and anti-money laundering compliance program is based on information management, identification of violations and weak points as well as reporting and definition of sanctions. The program is being continuously internally developed and regularly reviewed externally with regard to the applicable legal requirements.

Information and Identification Management

Ongoing training, in which our employees are informed about the compliance requirements and risks in the areas of anti-corruption, data protection and anti-money laundering as well as possible sanctions, form an integral part of the information management of our compliance program.

In-depth information, suspicious activity reports and assessment findings are pursued in the sense of a complete clarification. It is necessary to identify the causes of violations and weak points in order to establish sanctions and measures for further legal prosecution and mitigation as well as the further development of our control system.

The Management